

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	
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Kimio ISHIMARU et al.	:	Confirmation No. 4555
	:	
U.S. Patent Application No. 10/521,095	:	Group Art Unit: 3694
	:	
Filed: August 22, 2005	:	Examiner: Trotter, Scott S.

For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING METHOD,  
SYSTEM, PROGRAM, AND RECORDING MEDIUM

**AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated January 6, 2009, a reply being due April 6, 2009,  
please amend the above-identified application as follows:

**Amendments to the Abstract** –page 2.

**Amendments to the Claims** – page 3.

**Remarks/Arguments** – page 24.